

Guidance on exclusion criteria

The purpose of this document is to provide guidance on the documentation required to attest that an applicant in the selection procedure for the CTP for bonds conducted by ESMA, which will be launched on Friday 3 January 2025, is not in any of the exclusion situations listed in Article 138 of [Regulation \(EU, Euratom\) 2024/2509 \(the “Financial Regulation”; or “FR”\)](#), normally referred to as the ‘Exclusion Criteria’.

In addition to Declaration on honour on the Exclusion Criteria, in the model that will be made available with the procurement documents for the above-mentioned selection procedure, the following types of evidence of non-exclusion should be submitted by applicants together with their requests to participate in the selection procedure (the first stage of the procedure):

1. Evidence of absence of conviction for legal persons/natural persons – Article 138(1) points (a), (c), (d), (f), (g) and (h) of the FR;
 - Extract of judicial record; or
 - Equivalent document issued by a judicial or administrative authority
2. Evidence of non-bankruptcy and financial status – Article 138(1) point (a) of the FR;
 - Certificate of non-bankruptcy
3. Evidence of compliance with fiscal obligations – Article 138(1) point (b) of the FR;
 - Certificate on payment of taxes

4. Evidence of compliance with social security obligations – Article 138(1) point (b) of the FR;

- Certificate on payment of social security

5. Proof of the participant's identity

- Extract from the commercial register

All evidence submitted must be valid and the time that has elapsed since the issuing date of the evidence provided must not exceed one year from the date of their submission.

With regards to points (a) and (b) of Article 138(1) of the FR, if such certificates are not issued in the country of establishment concerned, a person authorized under the law to represent the economic operator (the legal representative) may provide a sworn statement made in the country of establishment before a judicial authority or notary or, failing that, a solemn statement made before an administrative authority or a qualified professional body.

As for point (e) of Article 138(1) of the FR, the check will be carried out by ESMA. Thus, no additional evidence in that respect is required from applicants.

Lastly, further information on the evidence referred to above relevant for each country can be found at the [eCertis webpage](#).

The below attached tables contain overview of relevant documents in EU Member States. **PLEASE note that ESMA does not guarantee completeness and up-to-date status of the info in the tables.**

AUSTRIA					
	1. Evidence of absence of conviction for legal persons/natural persons – Art. 138(1) points (a), (c), (d), (f), (g) and (h) of FR	2. Evidence of non-bankruptcy and financial status – Art. 138(1) point (a) of FR	3. Evidence of compliance with fiscal obligations – Art. 138(1) point (b) of FR	4. Evidence of compliance with social security obligations – Art. 138(1) point (b) of FR	5. Proof of the tenderer's identity
Evidence type	Natural Persons: Strafregisterbescheinigung (Criminal Record Certificate) Legal Persons: Information from the court automation system (Certificate)	Excerpt from the Austrian insolvency database (Online evidence)	Back Tax Certificate (Rückstandsbescheinigung)	Account certificate or clearance attestation (Online evidence)	A. Company Register Excerpt - Firmenbuchauszug (Certificate) OR B. Central Business Register Excerpt (Certificate)
Issuers	Natural Persons: - The State Police or the Police Station; - The Police Commissariat: in Vienna - The mayor: in cities without a state police directorate or in cities or municipalities without a police commissioner - The Magistrate: in the statutory cities of Krems and Waidhofen/Ybbs - The Burgenland State Police Directorate: for the statutory city of Rust - Abroad: The Austrian	- Commercial Court Vienna - Regional Courts	The competent Tax Office	Social Security Institutions	A. - Commercial Court Vienna - Regional Court for Civil Matters Graz - Regional Courts - Austrian notaries B. District Commission or City Administration

	representative authority				
	Legal Persons: Office for Prosecuting Economic Crimes and Corruption				

BELGIUM					
	1. Evidence of absence of conviction for legal persons/natural persons – Art. 138(1) points (a), (c), (d), (f), (g) and (h) of FR	2. Evidence of non-bankruptcy and financial status – Art. 138(1) point (a) of FR	3. Evidence of compliance with fiscal obligations – Art. 138(1) point (b) of FR	4. Evidence of compliance with social security obligations – Art. 138(1) point (b) of FR	5. Proof of the tenderer's identity
Evidence	Natural Persons: Criminal record extract (Certificate) Legal Persons: Criminal record extract (Certificate)	The legal position of the company (Online evidence)	Certificate relating to the payment of taxes	Certificate relating to the payment of social security contributions	The legal position of the company (Online evidence)
Issuers	Natural Persons: - Central Criminal Records Service (natural persons who do not have their domicile or residence in Belgium) - Competent municipality (natural persons who have their domicile or residence in Belgium) Legal Persons: Central Criminal Records Service	Crossroads Bank for Enterprises (Banque-Carrefour des Entreprises – BCE)	Federal Public Service of Finance	National Social Security Office	Crossroads Bank for Enterprises (Banque- Carrefour des Entreprises – BCE)

BULGARIA					
	1. Evidence of absence of conviction for legal persons/natural persons – Art. 138(1) points (a), (c), (d), (f), (g) and (h) of FR	2. Evidence of non-bankruptcy and financial status – Art. 138(1) point (a) of FR	3. Evidence of compliance with fiscal obligations – Art. 138(1) point (b) of FR	4. Evidence of compliance with social security obligations – Art. 138(1) point (b) of FR	5. Proof of the tenderer's identity
Evidence type	Natural Persons: Conviction Status Certificate (Certificate/Online evidence) Legal Persons: Sworn Statement by a person authorized under the law to represent the economic operator made before a judicial authority or notary.	Reference in the Commercial register (Online evidence)	Information about the presence or absence of debts	Information about the presence or absence of debts	Reference in the Commercial register (Online evidence)
Issuers	Natural Persons: - Ministry of Justice - Criminal Records Offices Legal Persons: Sworn Statement	Registry Agency	National Revenue Agency	National Revenue Agency	Registry Agency

CROATIA					
	1. Evidence of absence of conviction for legal persons/natural persons – Art. 138(1) points (a), (c), (d), (f), (g) and (h) of FR	2. Evidence of non-bankruptcy and financial status – Art. 138(1) point (a) of FR	3. Evidence of compliance with fiscal obligations – Art. 138(1) point (b) of FR	4. Evidence of compliance with social security obligations – Art. 138(1) point (b) of FR	5. Proof of the tenderer's identity
Evidence	Natural Persons: Criminal record certificate – Uvjerenje Legal Persons: Sworn Statement by a person authorized under the law to represent the economic operator made before a judicial authority or notary.	Extract from the register (Online evidence)	Certificate on Tax Debt Status (Potvrda o stanju duga)	Certificate on Tax Debt Status (Potvrda o stanju duga)	Excerpt from the court register (Certificate)
Issuers	Natural Persons: Municipal court (Općinski kazneni sud) / e-Citizens system Legal Persons: Sworn Statement	The National Insolvency Registry	Ministry of Finance - Tax Administration	Ministry of Finance - Tax Administration	The competent Commercial Court

CYPRUS					
	1. Evidence of absence of conviction for legal persons/natural persons – Art. 138(1) points (a), (c), (d), (f), (g) and (h) of FR	2. Evidence of non-bankruptcy and financial status – Art. 138(1) point (a) of FR	3. Evidence of compliance with fiscal obligations – Art. 138(1) point (b) of FR	4. Evidence of compliance with social security obligations – Art. 138(1) point (b) of FR	5. Proof of the tenderer's identity
Evidence	Natural Persons and Legal Persons: Certificate of clear criminal record	Certificate of trade register OR Certificate that the company is not wound-up	Attestation from the Tax Department (ΒΕΒΑΙΩΣΗ ΓΙΑ ΣΚΟΠΥΣ ΥΠΟΒΟΛΗΣ ΠΡΟΣΦΟΡΩΝ ΣΤΟ ΔΗΜΟΣΙΟ)	Attestation from the Social Security Insurance Services	Certificate of trade register
Issuers	Cyprus Police	Ministry of Energy, Trade and Industry, Department of Registrar of Companies OR Department of Insolvency	Ministry of Finance, Tax Department	Ministry of Labour and Social Insurance, Department of Social Insurance Services	Ministry of Energy, Trade and Industry, Department of Registrar of Companies

CZECH REPUBLIC					
	1. Evidence of absence of conviction for legal persons/natural persons – Art. 138(1) points (a), (c), (d), (f), (g) and (h) of FR	2. Evidence of non-bankruptcy and financial status – Art. 138(1) point (a) of FR	3. Evidence of compliance with fiscal obligations – Art. 138(1) point (b) of FR	4. Evidence of compliance with social security obligations – Art. 138(1) point (b) of FR	5. Proof of the tenderer's identity
Evidence	Natural Persons and Legal Persons: Extract from the Criminal register of Legal Persons/Natural Persons “Výpis z evidence rejstříku trestů” (Certificate) AND Legal Persons: Extract from the List of Approved Economic Operators (Online evidence)	Excerpt from the commercial register	Confirmation of non-existence of underpayment of tax issued by Tax Office (Certificate)	Confirmation from a relevant district social security administration (Certificate)	Excerpt from the commercial register (obchodní rejstřík)
Issuers	Natural Persons and Legal Persons: Criminal Register subordinated to the Ministry of Justice Legal Persons: Ministry of Regional Development	Register courts subordinated to the Ministry of Justice	Tax Office, Prague 1	Social Security Office, Prague	Register courts subordinated to the Ministry of Justice

DENMARK					
	1. Evidence of absence of conviction for legal persons/natural persons – Art. 138(1) points (a), (c), (d), (f), (g) and (h) of FR	2. Evidence of non-bankruptcy and financial status – Art. 138(1) point (a) of FR	3. Evidence of compliance with fiscal obligations – Art. 138(1) point (b) of FR	4. Evidence of compliance with social security obligations – Art. 138(1) point (b) of FR	5. Proof of the tenderer's identity
Evidence	Natural Persons: Certificate of criminal record Legal Persons: Sworn Statement by a person authorized under the law to represent the economic operator made before a judicial authority or notary.	Certificate from the Danish Probate Court	Statement from the Danish tax authority	Statement from ATP	Documentation for enrolment in trade register
Issuers	Natural Persons: The Danish Criminal Records Office / Police stations Legal Persons: Sworn statement	The Danish Probate Court	Danish Tax Authority (SKAT)	ATP (Arbejdsmarkedets Tillægspension)	The Danish Business Authority [Erhvervsstyrelsen]

ESTONIA					
	1. Evidence of absence of conviction for legal persons/natural persons – Art. 138(1) points (a), (c), (d), (f), (g) and (h) of FR	2. Evidence of non-bankruptcy and financial status – Art. 138(1) point (a) of FR	3. Evidence of compliance with fiscal obligations – Art. 138(1) point (b) of FR	4. Evidence of compliance with social security obligations – Art. 138(1) point (b) of FR	5. Proof of the tenderer's identity
Evidence	Natural Persons and Legal Persons: Certified extract from the Criminal Records Database (Certificate)	Extract from the register (Online evidence)	• Certificate of the absence of tax arrears (Certificate) - Or • Online tax arrears verification (Online evidence)	• Certificate of the absence of tax arrears (Certificate) - Or • Online tax arrears verification (Online evidence)	Extract from the register (Online evidence)
Issuers	Natural Persons and Legal Persons: Centre of Registers and Information Systems	Centre of Registers and Information Systems (Registrite ja Infosüsteemide Keskus)	Estonian Tax and Customs Board	Estonian Tax and Customs Board	Centre of Registers and Information Systems (Registrite ja Infosüsteemide Keskus)

FINLAND					
	1. Evidence of absence of conviction for legal persons/natural persons – Art. 138(1) points (a), (c), (d), (f), (g) and (h) of FR	2. Evidence of non-bankruptcy and financial status – Art. 138(1) point (a) of FR	3. Evidence of compliance with fiscal obligations – Art. 138(1) point (b) of FR	4. Evidence of compliance with social security obligations – Art. 138(1) point (b) of FR	5. Proof of the tenderer's identity
Evidence	Natural Persons and Legal Persons: Extract from Criminal Records	Certificate from the Bankruptcy and Enterprise Reorganisation Register	Extract from Tax Debt Register (Online evidence)	• Certificates on payment of social security contributions	Extract from the trade register: Kaupparekisteri (Online evidence)
Issuers	Natural Persons and Legal Persons: Legal Register Centre (Oikeusrekisterikeskus)	Register of bankruptcies and restructurings (part of the Legal Register Centre)	Vero skatt - Finnish Tax Administration	• Employment pension companies and funds • Insurance companies	Finnish Patent and Registration Office

FRANCE					
	1. Evidence of absence of conviction for legal persons/natural persons – Art. 138(1) points (a), (c), (d), (f), (g) and (h) of FR	2. Evidence of non-bankruptcy and financial status – Art. 138(1) point (a) of FR	3. Evidence of compliance with fiscal obligations – Art. 138(1) point (b) of FR	4. Evidence of compliance with social security obligations – Art. 138(1) point (b) of FR	5. Proof of the tenderer's identity
Evidence	Natural Persons: Bulletin n°3 Legal Persons: Sworn Statement by a person authorized under the law to represent the economic operator made before a judicial authority or notary.	Certificat en matière de procédures collectives	Attestation de la regularite fiscale	Vigilance attestation (Online evidence)	Extract from Registre du commerce et des sociétés: Extract K-bis
Issuers	Natural Persons: Ministère de la Justice - Direction des Affaires criminelles et des grâces - Casier judiciaire national Legal Persons: Sworn statement	The competent Greffe du Tribunal de Commerce et des sociétés	General Directorate of Public Finance (Direction Generale des Finances Publiques)	Territorial Offices of URSSAF	The competent Commercial Court

GERMANY					
	1. Evidence of absence of conviction for legal persons/natural persons – Art. 138(1) points (a), (c), (d), (f), (g) and (h) of FR	2. Evidence of non-bankruptcy and financial status – Art. 138(1) point (a) of FR	3. Evidence of compliance with fiscal obligations – Art. 138(1) point (b) of FR	4. Evidence of compliance with social security obligations – Art. 138(1) point (b) of FR	5. Proof of the tenderer's identity
Evidence	Natural Persons: Extract from judicial records Führungszeugnis (European Certificate) Legal Persons: Extract from register for trade and industrial offences Certificate (Auskunft aus dem Gewerbezentralregister)	A. Statement of the court responsible for insolvency or bankruptcy proceedings (Certificate) OR B. Extract from register for trade and industrial offences Certificate	Certificate on the payment of taxes (Bescheinigung in Steuersachen)	Krankenkasse - Certificate on the payment of social security contributions	Extract of the Commercial Register (Auszug aus dem Handelsregister Type A or B)
Issuers	Natural Persons: - The local registration office ("Meldebehörde"); - Online - on the website of the Federal Office of Justice (Bundesamt für Justiz) Legal Persons: Federal Office of Justice (Bundesamt für Justiz)	A. Competent insolvency court B. Federal Office of Justice	Competent tax office	The competent German insurance institution (health or pension)	Competent District Court

GREECE					
	1. Evidence of absence of conviction for legal persons/natural persons – Art. 138(1) points (a), (c), (d), (f), (g) and (h) of FR	2. Evidence of non-bankruptcy and financial status – Art. 138(1) point (a) of FR	3. Evidence of compliance with fiscal obligations – Art. 138(1) point (b) of FR	4. Evidence of compliance with social security obligations – Art. 138(1) point (b) of FR	5. Proof of the tenderer's identity
Evidence	Natural Persons: Extract of criminal record Legal Persons: Extract from register for trade and industrial offences Certificate National Criminal Records Public Prosecutor offices	A. Statement of the court responsible for insolvency or bankruptcy proceedings (Certificate) OR B. Extract from register for trade and industrial offences Certificate	Certificate on the payment of taxes	Payment of social security contributions	Certificate of the professional registry
Issuers	Natural Persons: The local registration office Online - on the website of the Federal Office of Justice Legal Persons: Federal Office of Justice	A. The Certificate of General Commercial Registry (G.E.M.I) especially for liquidation proceeding by partner's decision B. The printed report	Competent tax office	-	Technical Chamber of Greece or Geotechnical Chamber of Greece or Professional and Scientific Association of Technological Education of Engineering

		by the electronic platform of Independent Authority of Public Revenue especially for suspension of business activities.			
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HUNGARY					
	1. Evidence of absence of conviction for legal persons/natural persons – Art. 138(1) points (a), (c), (d), (f), (g) and (h) of FR	2. Evidence of non-bankruptcy and financial status – Art. 138(1) point (a) of FR	3. Evidence of compliance with fiscal obligations – Art. 138(1) point (b) of FR	4. Evidence of compliance with social security obligations – Art. 138(1) point (b) of FR	5. Proof of the tenderer's identity
Evidence	Natural Persons: Official Certificate of Good Conduct (Hatósági erkölcsi bizonyítvány) Legal Persons: Sworn Statement by a person authorized under the law to represent the economic operator made before a judicial authority or notary.	Excerpt from the register (Online evidence)	A. Tax Certificate (Adóigazolás) OR B. Extract from the database of taxpayers not having public debts (Online evidence)	A. Tax Certificate (Adóigazolás) OR B. Extract from the database of taxpayers not having public debts (Online evidence)	Online excerpt from the register
Issuers	Natural Persons: KEKKH Customer Service Centre of the Criminal Records Authority) Legal Persons: Sworn	Company Information Service (Cégnyilvántartás)	A. Competent tax and customs office B. National Tax and Customs Administration	A. Competent tax and customs office B. National Tax and Customs Administration	Company Information Service (Cégnyilvántartás)

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IRELAND					
	1. Evidence of absence of conviction for legal persons/natural persons – Art. 138(1) points (a), (c), (d), (f), (g) and (h) of FR	2. Evidence of non-bankruptcy and financial status – Art. 138(1) point (a) of FR	3. Evidence of compliance with fiscal obligations – Art. 138(1) point (b) of FR	4. Evidence of compliance with social security obligations – Art. 138(1) point (b) of FR	5. Proof of the tenderer's identity
Evidence	Natural Persons: Police Certificate Legal Persons: Sworn statement by a person authorized under the law to represent the economic operator (signed by a Practicing Solicitor/Commissioner for Oaths)	Proof that there is no active bankruptcy procedure	Tax Clearance Certificate - Compliance with all EU and domestic taxation law and requirements	Tax Clearance Certificate - Compliance with all EU and domestic taxation law and requirements	Extract from the register
Issuers	Natural Persons: Superintendent in the District where the relevant applicant resides. Legal Persons: Sworn statement	Bankruptcy Register	Revenue Commissioners	Revenue Commissioners	Register of Companies

ITALY					
	1. Evidence of absence of conviction for legal persons/natural persons – Art. 138(1) points (a), (c), (d), (f), (g) and (h) of FR	2. Evidence of non-bankruptcy and financial status – Art. 138(1) point (a) of FR	3. Evidence of compliance with fiscal obligations – Art. 138(1) point (b) of FR	4. Evidence of compliance with social security obligations – Art. 138(1) point (b) of FR	5. Proof of the tenderer's identity
Evidence	Natural Persons: - Certificate from the 'Judicial record' (Certificato Generale del Casellario Giudiziale) AND - Certificate from 'Pending Criminal Proceedings' (Certificato dei carichi pendenti) Legal Persons: - Certificate from the Index of Administrative Sanctions Inflicted for Criminal Offences (Certificato dell'anagrafe delle sanzioni amministrative dipendenti da reato) AND - Certificate from National Register of Pending Proceedings for Administrative Offences (Certificato dei carichi pendenti)	A. Certificate of the Chamber of Commerce with a statement on (non) existence of bankruptcy proceedings (Certificato della Camera di Commercio con attestazione del (no) esistenza di una procedura fallimentare) OR B. Certificate of absence/presence of bankruptcy procedures (Certificato di assenza o presenza di procedure fallimentari - destinato alle Stazioni Appaltanti)	Certificate of pending proceedings (Certificazione dei carichi pendenti risultanti al sistema informativo dell'anagrafe tributaria)	DURC (Regular Social Contribution Single Document) or Certification released by the Professional Fund (Online evidence)	Extract from Registro della Camera di commercio, industria, agricoltura e artigianato (online evidence/certificate)

	degli illeciti amministrativi dipendenti da reato)				
Issuers	Natural Persons and Legal Persons: Competent Office of the Prosecutor of the Republic (Procura della Repubblica presso il Tribunale)	A. Competent Chambers of Commerce (Camera di commercio) B. Ministry of Justice - Bankruptcy Court Office	Territorial Offices of Agenzie Entrate (Income Revenue Authority)	Construction Workers' Fund, Ministry of Labour and Social Policies, National Body for Multiple Categories Social Security, National Fund for Social Security and Insurance for Engineer, National Fund of Social Security for surveyors, National Institute for Insurance against Accidents at Work, National institute for social security.	The competent Chamber of Commerce

LATVIA					
	1. Evidence of absence of conviction for legal persons/natural persons – Art. 138(1) points (a), (c), (d), (f), (g) and (h) of FR	2. Evidence of non-bankruptcy and financial status – Art. 138(1) point (a) of FR	3. Evidence of compliance with fiscal obligations – Art. 138(1) point (b) of FR	4. Evidence of compliance with social security obligations – Art. 138(1) point (b) of FR	5. Proof of the tenderer's identity
Evidence	Natural Persons: Certificate of non-conviction Legal Persons: Information in sanctions	Certificate on winding-up, insolvency and suspended business activities issued by Latvian Enterprise Register	Tax compliance certificate	Certificate of compliance	Certificate on winding-up, insolvency and suspended business activities issued by Latvian Enterprise Register
Issuers	Ministry of interior, information center AND Latvian Enterprise Register	Latvian Enterprise Register	State Revenue Service	State Revenue Service	Latvian Enterprise Register

LITHUANIA					
	1. Evidence of absence of conviction for legal persons/natural persons – Art. 138(1) points (a), (c), (d), (f), (g) and (h) of FR	2. Evidence of non-bankruptcy and financial status – Art. 138(1) point (a) of FR	3. Evidence of compliance with fiscal obligations – Art. 138(1) point (b) of FR	4. Evidence of compliance with social security obligations – Art. 138(1) point (b) of FR	5. Proof of the tenderer's identity
Evidence	Natural Persons: Criminal Records Certificate Legal Persons: Criminal Records Certificate	Juridinių asmenų registras (Register of Legal Entities)	Online evidence	Certificate	Certificate
Issuers	Information Technology and Communications Department “INFORMATIKOS IR RYSIU DEPARTAMENTAS PRIE LIETUVOS RESPUBLIKOS VIDAUS REIKALU MINISTERIJOS” Information Technology and Communications	Extract from a court ruling OR Document on joint data, administered by competent institutions OR Online evidence from Register of Legal Entities	State Tax Inspectorate	State Social Insurance Fund Board	Juridinių asmenų registras (Register of Legal Entities)

LUXEMBOURG					
	1. Evidence of absence of conviction for legal persons/natural persons – Art. 138(1) points (a), (c), (d), (f), (g) and (h) of FR	2. Evidence of non-bankruptcy and financial status – Art. 138(1) point (a) of FR	3. Evidence of compliance with fiscal obligations – Art. 138(1) point (b) of FR	4. Evidence of compliance with social security obligations – Art. 138(1) point (b) of FR	5. Proof of the tenderer's identity
Evidence	Natural Persons and Legal Persons: Extract of the criminal register (casier judiciaire) No 3	Certificat de non-inscription d'une décision judiciaire (Certificate)	Certificate or attestation of non-obligation (Certificate)	Certificate from the Centre of Information, Affiliation and Collection of Common Contributions of Social Security (Certificate)	Extract from Registre aux firmes and the Rôle de la Chambre des métiers (Certificate)
Issuers	Natural Persons and Legal Persons: Parquet général - Service du Casier judiciaire	Registre de Commerce et des Sociétés	Administration of Direct Taxes	Centre of Information, Affiliation and Collection of Common	Registre de Commerce et des Sociétés

MALTA					
	1. Evidence of absence of conviction for legal persons/natural persons – Art. 138(1) points (a), (c), (d), (f), (g) and (h) of FR	2. Evidence of non-bankruptcy and financial status – Art. 138(1) point (a) of FR	3. Evidence of compliance with fiscal obligations – Art. 138(1) point (b) of FR	4. Evidence of compliance with social security obligations – Art. 138(1) point (b) of FR	5. Proof of the tenderer's identity
Evidence	Natural Persons: Criminal Records Certificate Legal Persons: Legal and Judicial Certificate	Attestation from the courts of Magistrates of Gozo OR Declaration of Oath (before notary or equivalent authority) OR Registry of Civil Courts - Malta	Attestation from the VAT Department OR Tax Compliance Certificate OR Certificate of Compliance from the Customs Department	FSS/SSC Clearance Certificate	Certificate
Issuers	Natural Persons: Criminal Records Office at the Police General Headquarters Legal Persons: Malta Business Registry	Court of Magistrates of Gozo - MGOZ OR Civil Courts and Tribunal Directorate, Court of Justice MJCL	Commissioner for Revenue	Commissioner for Revenue	Malta Business Registry

THE NETHERLANDS					
	1. Evidence of absence of conviction for legal persons/natural persons – Art. 138(1) points (a), (c), (d), (f), (g) and (h) of FR	2. Evidence of non-bankruptcy and financial status – Art. 138(1) point (a) of FR	3. Evidence of compliance with fiscal obligations – Art. 138(1) point (b) of FR	4. Evidence of compliance with social security obligations – Art. 138(1) point (b) of FR	5. Proof of the tenderer's identity
Evidence	Legal Persons: Certificate of Conduct for Procurement (GVA Gedragsverklaring aanbesteden) For procurement purposes, only the GVA is requested.	Extract from the trade register of the Chamber of Commerce (Non-liquidation Certificate)	Declaration of receiving the taxes done by the tax authorities (Certificate) Verklaring van Betalingsgedrag nakoming fiscale verplichtingen	Declaration of receiving the taxes done by the tax authorities (Certificate) Verklaring van Betalingsgedrag nakoming fiscale verplichtingen	Extract from the register KvK (Certificate)
Issuers	Legal Persons: Justis - COVOG Department (Central Agency Good Conduct Certificates)	Chamber of Commerce – Trade & Insolvency Section	The Tax and Customs Administration	The Tax and Customs Administration	Netherlands Chamber of Commerce (Kamer van Koophandel)

POLAND					
	1. Evidence of absence of conviction for legal persons/natural persons – Art. 138(1) points (a), (c), (d), (f), (g) and (h) of FR	2. Evidence of non-bankruptcy and financial status – Art. 138(1) point (a) of FR	3. Evidence of compliance with fiscal obligations – Art. 138(1) point (b) of FR	4. Evidence of compliance with social security obligations – Art. 138(1) point (b) of FR	5. Proof of the tenderer's identity
Evidence	Natural Persons and Legal Persons: Extract from the National Criminal Register (Certificate): Zapytanie o udzielenie informacji o osobie	A. Extract from the National Court Register (Online evidence) OR B. Extract from the Central Registration and Information on Business (Online evidence) OR C. Extract from the National Criminal Register (Certificate)	Attestation of compliance with tax obligations (Certificate)	Attestation of compliance with social security obligations (Certificate)	Extract from the Central Registration and Information on Business (Online evidence)
Issuers	Natural Persons and Legal Persons: National Criminal Register KRK of the Ministry of Justice	A. National Court Register B. Central Registration and Information on Business C. National Criminal Register	Territorially competent state tax authorities first instance	Territorially competent Social Insurance Institution Polish Social Insurance Institution, Zakład Ubezpieczeń Społecznych (ZUS) office	Central Registration and Information on Business

PORTUGAL					
	1. Evidence of absence of conviction for legal persons/natural persons – Art. 138(1) points (a), (c), (d), (f), (g) and (h) of FR	2. Evidence of non-bankruptcy and financial status – Art. 138(1) point (a) of FR	3. Evidence of compliance with fiscal obligations – Art. 138(1) point (b) of FR	4. Evidence of compliance with social security obligations – Art. 138(1) point (b) of FR	5. Proof of the tenderer's identity
Evidence	Natural Persons and Legal Persons: Criminal record certificate	Permanent certificate of business registration (Certificate)	Document attesting compliance with tax obligations (Certificate)	Document attesting compliance with social security obligations (Certificate)	Permanent certificate of business registration (Certificate)
Issuers	Natural Persons and Legal Persons: Directorate-General for the Administration of Justice	Institute of Registries and Notaries, PI	Tributary and Customs Authority (Autoridade tributaria e aduneira)	Social Security Institute, PI	Institute of Registries and Notaries, PI

ROMANIA					
	1. Evidence of absence of conviction for legal persons/natural persons – Art. 138(1) points (a), (c), (d), (f), (g) and (h) of FR	2. Evidence of non-bankruptcy and financial status – Art. 138(1) point (a) of FR	3. Evidence of compliance with fiscal obligations – Art. 138(1) point (b) of FR	4. Evidence of compliance with social security obligations – Art. 138(1) point (b) of FR	5. Proof of the tenderer's identity
Evidence	Natural Persons: Certificat de Cazier Judiciar Legal Persons: Certificat de Cazier Judiciar	Asecertaining certificate (Certificat Constatator)	Fiscal attestation certificate (Certificat de atestare fiscala) AND Tax certificate for legal entities regarding taxes, local taxes and other revenue due to the local budget	Fiscal attestation certificate (Certificat de atestare fiscala)	Asecertaining certificate (Certificat Constatator)
Issuers	Natural Persons: Ministry of Internal affairs (Ministerul afacerilor interne), General Inspection of Romanian Police (inspectoratul general al politiei romane) Legal Persons: Ministry of Internal affairs (Ministerul afacerilor interne), General	National Trade Registry Office - NTRO (ONRC) OR Trade Registry Offices attached to the courts	National Agency of Tax Administration (Agenția Națională de Administrare Fiscală) AND General Directorate of Local Budget Revenues	National Agency of Tax Administration (Agenția Națională de Administrare Fiscală)	National Trade Registry Office - NTRO (ONRC) OR Trade Registry Offices attached to the courts

	Inspection of Romanian Police (inspectoratul general al politiei romane)				
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SLOVENIA					
	1. Evidence of absence of conviction for legal persons/natural persons – Art. 138(1) points (a), (c), (d), (f), (g) and (h) of FR	2. Evidence of non-bankruptcy and financial status – Art. 138(1) point (a) of FR	3. Evidence of compliance with fiscal obligations – Art. 138(1) point (b) of FR	4. Evidence of compliance with social security obligations – Art. 138(1) point (b) of FR	5. Proof of the tenderer's identity
Evidence	Natural Persons and Legal Persons: Certificate (Potrdilo iz kazenske evidence)	E-pages of Court cases and companies (Online evidence)	Data on candidate orientation of suppliers who check the fulfilment of tax liability	Data on candidate orientation of suppliers who check the fulfilment of tax liability	Extract from the trade register (Online evidence)
Issuers	Natural Persons and Legal Persons: Ministry of Justice	Agency of the Republic of Slovenia for Public Legal Records and Related Services	Financial Administration of the Republic of Slovenia	Financial Administration of the Republic of Slovenia	The Slovenian Business Register (PRS)

SLOVAKIA					
	1. Evidence of absence of conviction for legal persons/natural persons – Art. 138(1) points (a), (c), (d), (f), (g) and (h) of FR	2. Evidence of non-bankruptcy and financial status – Art. 138(1) point (a) of FR	3. Evidence of compliance with fiscal obligations – Art. 138(1) point (b) of FR	4. Evidence of compliance with social security obligations – Art. 138(1) point (b) of FR	5. Proof of the tenderer's identity
Evidence	Natural Persons and Legal Persons: Criminal record certificate (Výpis z Registra Trestov)	Confirmation that entrepreneur is not in bankruptcy, not in liquidation and not in restructuring	Confirmation of the local respective customs office	• Confirmation regarding health insurance AND • Confirmation regarding social and senior pension insurance	Extract from the register
Issuers	Natural Persons and Legal Persons: General Prosecutors Office of the Slovak Republic	District Court	Financial Administration of the Slovak republic, Tax Directorate of the Slovak Republic	Health Insurance Company • Social Insurance Agency in Slovakia	Registry Courts (the district court at the seat of the regional court)

SPAIN					
	1. Evidence of absence of conviction for legal persons/natural persons – Art. 138(1) points (a), (c), (d), (f), (g) and (h) of FR	2. Evidence of non-bankruptcy and financial status – Art. 138(1) point (a) of FR	3. Evidence of compliance with fiscal obligations – Art. 138(1) point (b) of FR	4. Evidence of compliance with social security obligations – Art. 138(1) point (b) of FR	5. Proof of the tenderer's identity
Evidence	Natural Persons and Legal Persons: Certificates from judicial records	A. Certificate of non-bankruptcy OR B. ROLECE Certificate for Legal Person	Certificate of Tax Obligations Compliance (Certificado obligaciones tributarias)	Certificate of Social Security Obligations Compliance	Certificate of inscription in trade registers
Issuers	Natural Persons and Legal Persons: Ministry of Justice - Registro Central de Penados	A. Competent Chamber of Commerce / Registro Mercantil B. Spanish Economic Operators Registry (ROLECE)	Territorial Offices of Agencia Tributaria (Income Revenue Authority)	Territorial Offices of Ministerio de Empleo General Treasury of Social Security	The competent Trade register

SWEDEN					
	1. Evidence of absence of conviction for legal persons/natural persons – Art. 138(1) points (a), (c), (d), (f), (g) and (h) of FR	2. Evidence of non-bankruptcy and financial status – Art. 138(1) point (a) of FR	3. Evidence of compliance with fiscal obligations – Art. 138(1) point (b) of FR	4. Evidence of compliance with social security obligations – Art. 138(1) point (b) of FR	5. Proof of the tenderer's identity
Evidence	Natural Persons: Extract from the criminal records Legal Persons: Solemn statement by a person authorized under the law to represent the economic operator made before an administrative authority or a qualified professional body in its country of establishment.	A. Certificate of registration (Certificate) OR B. E-certificate of registration (Online evidence)	SKV 4820 - Request response, public information (Certificate)	SKV 4820 - Request response, public information (Certificate)	Extract from the Swedish Companies Registration Office's register (Online evidence)
Issuers	Natural Persons: Swedish National Police Authority Legal Persons: Solemn statement	The Swedish Companies Registration Office (Bolagsverket)	Swedish Tax Agency (Skatteverket)	Swedish Tax Agency (Skatteverket)	The Swedish Companies Registration Office