

Template 3

Annual declaration of interests

You are required to sign this form in order to confirm that you have read and taken note of Article 11a of the Staff Regulations as applied by the Conditions of Employment of Other Servants. Signing this declaration should prompt you to consider whether you may have a possible conflict of interest that could affect the performance of your duties at ESMA. The act of signing the declaration should also remind you that when you do have a conflict of interest you should alert the Appointing Authority by using the form available in Template 4.

Article 11a of the Staff Regulations states the following:

1. *An official shall not, in the performance of his duties and save as hereinafter provided, deal with a matter in which, directly or indirectly, he has any personal interest such as to impair his independence, and, in particular, family and financial interests.*
2. *Any official to whom it falls, in the performance of his duties, to deal with a matter referred to above shall immediately inform the Appointing Authority. The Appointing Authority shall take any appropriate measure, and may in particular relieve the official from responsibility in this matter.*
3. *An official may neither keep nor acquire, directly or indirectly, in undertakings which are subject to the authority of the institution to which he belongs or which have dealings with that institution, any interest of such kind or magnitude as might impair his independence in the performance of his duties.*

I hereby declare that I have read Article 11a of the Staff Regulations and am aware of my obligations and attach a list of my own and my close family members' current interests¹. Whenever I have a conflict of interests I will alert the Appointing Authority.

Name: Frouke W Wendt	Date: 05/01/2026	Signature: [signed]
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¹ You may use the table overleaf, or your own list containing equivalent information (eg a list provided by your bank). Moreover, personal insurance policies, collective investment funds, investment portfolios where full discretion is given to the investment manager or deposits contracted as a regular customer are not regarded as economic and financial interests.

X Yes

☐ No

Declaration of interest

X I have no interests to declare.

☐ I declare the following interests:

Please provide as many details as possible about the declared interests, whether current or from the past year (in the case of a body or employer, full name, location, private or public nature and your role).

Personal interests²

Financial interests to be declared in detail³

[illegible]

² In this part of the Template please only provide information on your personal interests; interests of close family members are not made public and are therefore to be declared in the separate section below (page 4).

³ Please see paragraph 48 of the Conflict of Interest and Ethics Policy.

Financial interests to be declared without further detail

I declare that I hold the following interests (in accordance with paragraph 49 of the Conflict of Interest and Ethics Policy):

- ☐ assets placed under the control of a recognised portfolio manager who has full discretion to manage the assets and where it is ensured that the investors are precluded from intervening in the management of the portfolio and investment decisions.
- ☐ investments in undertakings for collective investment in transferable securities (UCITS), Exchange traded funds (ETFs) and unit-linked insurance products, except if their investment policy focuses exclusively in the financial sector, the information technology sector, or in crypto assets.

Other interests to be declared

Nature of interests	Period (from/to)	Organisation	Subject matter and why this interest could create a conflict of interests
I. Other Economic Interest			
II. Membership in a managing body or equivalent structure or a trade association or other relevant membership			
III. Employment or Consultancy			
IV. Intellectual Property Rights			
V. Others			

Please add pages as necessary.