

ESMA Staff Stakeholder contacts in the Fourth Quarter 2016

ESMA is committed to the highest standards of transparency in its interactions with stakeholders. ESMA will therefore publish information on ESMA staff meetings with external stakeholders. This type of information will be published in the beginning of January, April, July and October for the previous quarter.

Date	Institution met	Main topics discussed
03 October 2016	AFME	Investment recommendation regime under MAR
03 October 2016	DELOITTE	DLT
03 October 2016	SETL	DLT
04 October 2016	FIA-EPTA	Organisational requirements for investment firms engaged in algorithmic trading (RTS 6); non-discriminatory access to trading venues; Trading obligation for derivatives; Guidelines on the trading halts
05 October 2016	Markit	SFTR data reporting
06 October 2016	ISO 20022 Standards Evaluation Group - Derivatives SubSEG	Review of candidate ISO 20022 messages for derivatives reporting
07 October 2016	ICAP	OTF
07 October 2016	EDHEC Risk Institute	Smart Beta Fixed Income, FinTech asset management, Conditional Factor Models, Smart beta and low carbon investing
11 October 2016	Vanguard	Systemic Risk Associated with Products/Activities of Asset Managers
12 October 2016	Citadel	MiFID II, package orders in the context of the Quick Fix Regulation
13 October 2016	Electronic Debt Markets Association Europe EDMA	Regulation of financial markets and how different technical standards will affect their activities/ MiFID-II transitional calculations

13 October 2016	Nordic Securities Association	MiFID II / MiFIR level 3 issues and potential Q&As
14 October 2016	ICE Futures Europe	MiFID II, package orders in the context of the Quick Fix Regulation
18 October 2016	Man AHL	Use of machine learning in quantitative finance
18 October 2016	ICI Global	Risk outlook, corporate bond market liquidity, fund liquidity, leverage, use of derivatives
26 October 2016	MFA	AIFMD 3rd countries passport
28 October 2016	Barclays	Transparency under MIFID II
03 November 2016	Solactive AG	Equity indices, role of independent benchmark administrators, proportionality under BMR and Level 2 measures
04 November 2016	Pentalpha	Securitisation in the US
07 November 2016	AMAFI	Market abuse regime under MAR
08 November 2016	Citadel	Transparency under MIFID II
08 November 2016	UK Investment Association	Best execution
14 November 2016	Citibank	New Prospectus Regulation
14 November 2016	ISDA	Commodity derivatives
15 November 2016	ECSDA	The specific treatment of CSDs under AIFMD and UCITS
15 November 2016	AFEP/MEDEF	New Prospectus Regulation
15 November 2016	UK Investment Association	Product governance
16 November 2016	Societe Generale Corporate and Investment Bank	Final Technical Advice and CP on draft TS under the Benchmarks Regulation (BMR)
16 November 2016	ICI Global	US/UK fund fee comparison
17 November 2016	ISDA and FIA	Final Technical Advice and the CP on draft TS under the Benchmarks Regulation (BMR)
17 November 2016	AFME	MiFIR transparency regime and third country provisions, SI provisions; trade reporting
18 November 2016	FIX reference data working group	Discussion on the concept of “traded on a trading venue” (TOTV) and related issues
21 November 2016	EuroCCP	Open access to clearing

24 November 2016	ISDA	Discussion about the “traded on a trading venue (TOTV)” concept
24 November 2016	EACH-ISDA-AFME	Portfolio Margining
24 November 2016	Bank of America	Taxonomy for transparency calculations
25 November 2016	Italian Banking Association	Costs and charges, suitability, UCITS/AIFMD asset segregation
29 November 2016	ISLA	Securities lending markets and other SFT issues
01 December 2016	PWC	Product governance and ESMA Level 3 work
06 December 2016	European Banking Federation (EBF)	MAR obligation for disclosure of inside information related to the SRB decisions on MREL
06 December 2016	EACH	Stress Tests
07 December 2016	Bipar	Product governance
08 December 2016	AIMA	AIFMD delegation rules, loan origination, AIFMD reporting, MiFID research
09 December 2016	AFME	Best execution and research
13 December 2016	EFFAS and XBRL International	European Single Electronic Format
15 December 2016	LSE and FTSE Russell	CP on draft TS under the Benchmarks Regulation (BMR)